Adaptive RBFNN Strategy for Fault Tolerant Control: Application to DSIM under Broken Rotor Bars Fault

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Abstract—This paper presents a fault tolerant control (FTC) based on Radial Base Function Neural Network (RBFNN) using an adaptive control law for double star induction machine (DSIM) under broken rotor bars (BRB) fault in a squirrel-cage in order to improve its reliability and availability. The proposed FTC is designed to compensate for the default effect by maintaining acceptable performance in case of BRB. The sufficient condition for the stability of the closed-loop system in faulty operation is analyzed and verified using Lyapunov theory. To proof the performance and effectiveness of the proposed FTC, a comparative study within sliding mode control (SMC) is carried out. Obtained results show that the proposed FTC has a better robustness against the BRB fault.

Index Terms—Double star induction machine, Radial base function neural network, Sliding mode control, Robustness, Fault tolerant control, Broken rotor bars.

I. INTRODUCTION

The double star induction machine (DSIM) belongs to the category of multiphase induction machines (MIM). It has been selected as the best choice because of its many advantages over its three-phase counterpart. The DSIM has been proposed for different fields of industry that need high power such as electric hybrid vehicles, locomotive traction, ship propulsion and many other applications where the safety condition is required such as aerospace and offshore wind energy systems. DISM not only guarantees a decrease of rotor harmonics currents and torque pulsations but it also has many other advantages such as: reliability, power segmentation and higher efficiency. DSIM has a greater fault tolerance; it can continue to operate and maintain rotating flux even with open-phase faults thanks to the greater number of degrees of freedom that it owns compared to the three-phase machines [1-3]. The motors installed in the industry are 85% of squirrel cage motors [4]. Induction motors are subject to various faults; about 40% to 50% are bearing faults, 5% to 10% are severe rotor faults, and 30% to 40% are stator-related faults [5]. Broken bars has proved dangerous and may be the cause of other faults in the stator and the rotor itself because a broken rotor bar considerably increases the
currents flowing in the neighboring bars, which causes the increase of the mechanical stresses (constraints) and consequently causes the rupture of the corresponding bars [6]. BRB fault can be caused by failures in the rotor fabrication process, overloads (mechanical stress), mechanical cracks or thermal stress [7].

II. RELATED WORKS

The main advantage of neural networks (NNs) is their capacity to approximate uncertainties in model-uncertain systems with complex and unknown functions without the need for precise knowledge of model parameters [8]. Several works based on NN controllers with an adaptive control technique have been proposed; [9] presents an adaptive neural network saturated control for MDF continuous hot pressing hydraulic system with uncertainties, the RBFNN-based reconstruction law is introduced to approximate the composite term consisting of an unknown function, disturbances, and a saturation error. In [10], a robust adaptive fault-tolerant control has been proposed for over-actuated systems in the simultaneous presence of matched disturbances, unmodeled dynamics and unknown non-linearity of the actuator, authors used the radial basis function neural network in order to have an approximation of the unmodeled dynamics. [11] proposes an actuator fault tolerant control using an adaptive RBFNN fuzzy sliding mode controller for coaxial octorotor UAV, simulation results show that, despite the rotor failure, the octorotor can remain in flight and can perfectly perform trajectory control in x, y and z and can also control yaw, roll and pitch angles. Inspired by [8], this paper proposes an adaptive RBFNN control method for a class of unknown multiple-input-multiple-output (MIMO) nonlinear systems with bounded external and internal disturbances (DSIM with defective rotor) in order to compensate the fault effect after estimating uncertainties. The proposed FTC is tested in healthy and defective conditions with other control methods applied on six-phase induction machine [12, 13]. The performance of these controllers is investigated and compared in terms of reference tracking of the rotor speed, the electromagnetic torque and the rotor flux. This paper has made several contributions in relation to recent research concerning the FTC:

- An intelligent FTC to properly control the torque, flux and speed tracking of a DSIM with a BRB defect has been proposed in this contribution, the application of the adaptive control RBFNN as FTC for DSIM in a faulty case is performed for the first time.
- Compared to [14, 15], the authors used non-linear observers for the detection and reconstruction of defects. In this article, the RBFNN is used to detect and reconstruct the faults.
- The proposed scheme could be interesting and this approach can achieve a tolerance to a wide class of system failures.

- Compared to [16], a multi three-phase induction motor drive is processed; the proposed FTC does not need a predictive model for fault tolerance.
- Compared to the passive fault tolerant control developed in [17], this paper proposes an adequate adaptive parameter-tuning law to overcome system disturbances and BRB faults without information of their upper bounds.
- Compared to the intelligent control presented in [18], the adaptive control law has been applied to all stages, increasing the controller's tolerance. In addition, the proposed FTC was dealing with a faulty machine while [18] was handling a healthy doubly-fed induction motor (DFIM).
- Compared with [19, 20], where the authors respectively present a FTC of six-phase induction motor under open-circuit fault and a FTC of five-phase induction machine under open gate transistor faults, the degree of severity of the fault dealt with in this paper is more important since open phase fault tolerance is a specific feature of multiphase machines due to the high number of phases.

The remainder of this paper is organized as follows; the following section describes the DSIM faulty model. The design of the proposed FTC is carried out in section 4. Simulation results and their discussions are given in section 5. The last section is reserved for conclusion.

III. DSIM FAULTY MODEL

In order to establish a faulty model of DSIM, we consider the rotor as a balanced three-phase system; the squirrel cage rotor is replaced by an equivalent three phase windings (single star winding) with equivalent resistance \( R \) and leakage \( L \). When the rotor of the DSIM is broken, the rotor resistance is different than the nominal value [21], to simulate a BRB in the double star induction machine; we increase the controller's tolerance. In the first-order differential equations of the rotor voltages in the natural \( \text{abc} \) reference frame are given by:

\[
[V_r] = [R_r][I_r] + \frac{d}{dt}[\Phi_r]
\]  

(1)

With:

\[
[R_r] = \begin{bmatrix} R & 0 & 0 \\ 0 & R & 0 \\ 0 & 0 & R \end{bmatrix},
[\Phi_r] = \begin{bmatrix} \varphi_r \\ \varphi_\alpha \\ \varphi_\beta \end{bmatrix},
[I_r] = \begin{bmatrix} i_a \\ i_\alpha \\ i_\beta \end{bmatrix},
[V_r] = \begin{bmatrix} v_a \\ v_\alpha \\ v_\beta \end{bmatrix}
\]

(2)
Where:

\( [R_r] \) is the matrix of resistances, \( [\Phi_r^{\phi \epsilon}] \) is the flux vector,

\( [I_r] \) is the vector of currents and \( [V_r^{\phi \epsilon}] \) is the vector of tensions. When the BRB fault occurs, the resistances matrix becomes the following:

\[
[R_r^{\text{BRB}}] = \begin{bmatrix}
R_r & 0 & 0 \\
0 & R_r & 0 \\
0 & 0 & R_r + \epsilon
\end{bmatrix}
\] (3)

In this case, the voltages equation in (1) becomes:

\[
[V_r^{\phi \epsilon}] = [R_r^{\text{BRB}}][I_r] + \frac{d}{dt}[\Phi_r^{\phi \epsilon}]
\] (4)

By applying the park transformation that conserves the energy on (4), we obtain the equation of the tensions in the \((d - q)\) reference frame:

\[
[V_r^{\phi \epsilon}] = [P_r(\theta)][R_r^{\text{BRB}}][I_r] + \frac{d}{dt}[\Phi_r^{\phi \epsilon}] +
[P_r(\theta)] \frac{d}{dt}[[P_r(\theta)]^{-1}][\Phi_r^{\phi \epsilon}]
\] (5)

Where:

\( [V_r^{\phi \epsilon}] = [v_{rd} \ v_{rq} \ v_{ro}]^T \) is the voltages vector,

\( [I_r] = [i_{rd} \ i_{rq} \ i_{ro}]^T \) is the currents vector and

\( [\Phi_r^{\phi \epsilon}] = [\varphi_{rd} \ \varphi_{rq} \ \varphi_{ro}]^T \) is the rotor flux vector. \( [P_r(\theta)] \) is the transformation matrix of the rotor winding, is given by:

\[
[P_r(\theta)] = \sqrt{\frac{3}{2}} \begin{bmatrix}
P_{11} & P_{12} & P_{11} \\
P_{12} & P_{11} & P_{12} \\
P_{11} & P_{12} & P_{11}
\end{bmatrix}
\] (6)

With:

\[
\begin{align*}
P_{11} &= \cos(\theta_1 - \theta_1) \\
P_{12} &= \cos\left(-\frac{\theta_1 - \theta_1}{3}\right) \\
P_{13} &= \cos\left(\theta_1 - \theta_1 + \frac{2\pi}{3}\right) \\
P_{21} &= \cos(\theta_2 - \theta_2) \\
P_{22} &= \cos\left(-\frac{\theta_2 - \theta_2}{3}\right) \\
P_{23} &= \cos\left(\theta_2 - \theta_2 + \frac{2\pi}{3}\right)
\end{align*}
\] (7)

\[
\begin{align*}
\theta_1 &= \int \omega_1 \frac{d}{dt}; \quad \theta_2 &= \int \omega_2 \frac{d}{dt}
\end{align*}
\]

Finally, the DSIM model in the presence of BRB faults is given by the following equations:

\[
\Gamma_1 = -\left(\frac{R_r}{L_r + L_m} \frac{\alpha}{\beta} - \frac{\omega_{\theta 1}}{\beta}\right)\varphi_r + \left(\frac{\alpha}{\beta} \frac{L_m}{L_r + L_m} \frac{R_L}{L_r + L_m}\right)(i_{ad} + i_{bd})
\]

\[
\Gamma_2 = \left(\frac{L_m}{\eta - T_r}\right)\omega_1 \omega_2 \varphi_r
\]

\[
\Gamma_3 = \left(\frac{L_m}{L_r + L_m}\right)\omega_1 \omega_2 \varphi_r
\]

\[
\Gamma_4 = \left(\frac{L_m}{\eta - T_r}\right)\omega_1 \omega_2 \varphi_r
\]

\[
\Gamma_5 = -\left(\frac{L_m}{L_r + L_m}\right)\omega_1 \omega_2 \varphi_r
\]

Where:

\[
\begin{align*}
\alpha &= \frac{a_2 + (a_3 - a_5 a_6) (a_3 - a_5 a_6)}{a_2} \\
\beta &= \frac{a_6 - a_5 a_3}{a_2}
\end{align*}
\] (10)
IV. THE PROPOSED FTC DESIGN FOR DSIM

The goal is to design a FTC based on the RBFNN scheme for an uncertain DSIM model in the presence of BRB faults to properly handle the flux and speed tracking. The role of RBFNN systems is to approximate the local nonlinearities of each subsystem by adaptive laws that respect the stability and convergence of the Lyapunov theory until the desired tracking performance is achieved.

To design the proposed control, we operate with the defective DSIM model developed in (8), in the presence of BRB faults, so we have:

\[
\begin{align*}
\eta &= -\frac{a_2 a_1^2 - 2a_2 a_2 a_3 + a_2 a_3^2 + a_1 a_2 a_4 - a_1 a_4 a_6}{a_2 a_3 + a_2 a_4} \\
\mu &= -\frac{a_2 a_1^2 - 2a_2 a_2 a_3 + a_2 a_3^2 + a_1 a_2 a_4 - a_1 a_4 a_6}{a_2 a_3 + a_2 a_4} \\
\gamma &= \frac{a_2 (a_2 a_3 - a_2 a_4) + a_1 (a_2^2 - a_4 a_6)}{a_2 a_3 + a_2 a_4} \\
a_3 &= \frac{e}{3} + rr - \frac{e}{6} \cos(2\theta_1 - 2\theta_2) - \sqrt{\frac{3}{6}} \; e \sin(2\theta_1 - 2\theta_2) \\
a_2 &= \frac{e}{3} \cos(2\theta_1 - 2\theta_2 + \frac{\pi}{6}) \\
a_1 &= -\frac{\sqrt{2}}{3} \; e \cos(\theta_1 - \theta_2 + \frac{\pi}{6}) \\
a_4 &= \frac{e}{3} + rr + \frac{e}{6} \cos(2\theta_1 - 2\theta_2) + \sqrt{\frac{3}{6}} \; e \sin(2\theta_1 - 2\theta_2) \\
a_5 &= -\frac{\sqrt{2}}{3} \; e \cos(\theta_1 - \theta_2 + \frac{\pi}{6}) \\
a_6 &= \frac{e}{3} + rr
\end{align*}
\]

Where:

\[
\begin{align*}
f_1 &= -\frac{p}{J} T_L - \frac{K_i}{J} \Omega \\
f_2 &= -\frac{R}{L_s + L_m} \varphi_r + \Gamma_i \\
f_3 &= -\frac{R_{i_d}}{L_s} i_{q1} + \frac{\omega_s T_p \varphi_r}{L_s} + \Gamma_2 \\
f_4 &= -\frac{R_{i_d}}{L_s} i_{q1} - \omega_s i_{d1} - \frac{\alpha \varphi_r}{L_s} + \Gamma_3 \\
f_5 &= -\frac{R_{i_q}}{L_s} i_{d1} \\
f_6 &= -\frac{R_{i_q}}{L_s} i_{d1} + \frac{\omega_s T_p \varphi_r}{L_s} + \Gamma_4 \\
f_7 &= -\frac{R_{i_q}}{L_s} i_{d1} + \omega_s i_{q1} + \frac{\omega_s T_p \varphi_r}{L_s} + \Gamma_5 \\
f_8 &= -\frac{R_{i_q}}{L_s} i_{d1} 
\end{align*}
\]

RBF neural networks are used adaptively to approximate the unknown \( f_i \) \((i = 1,8)\). The structures of RBFNN with receptive field units are shown in Fig.1. The radial-basis function vector \( H_d \) that indicates the output of the hidden layer is given by [8]:

\[
H_d = \exp \left( \frac{\| \chi - C_\alpha \|^2}{B_\alpha^2} \right), \quad (i = 1,8)
\]

Where: \( \chi \) are the inputs state of the network, \( k \) is the input number of the network, \( l \) is the number of hidden layer nodes in the network, \( C \) and \( B \) represent the center of the receptive field and the width of the Gaussian function respectively. \( H = [H_1 \ H_2 \ \ldots \ H_m]^T \) with \( i = 1,8 \) are the output of the Gaussian function.

![Fig.1. The structure of RBFNN](image-url)

Where:
The nonlinear functions \( f_i(\bar{x}_i) \), \( i = 1, 8 \) can be estimated by the RBFNN as follows:

\[
\hat{f}(\bar{x}_i) = W_i^T H_i(\bar{x}_i), \quad i = 1, 8
\]

Where: \( \bar{x}_i \) is the input vector, \( W_i \) are the weights vector parameters of the adjusted neural network and \( H_i(\bar{x}_i) \) are the outputs of the Gaussian function. Let us define the actual functions \( f_i(\bar{x}_i) \):

\[
f_i(\bar{x}_i) = W_i^T H_i(\bar{x}_i) + \bar{o}_i(\bar{x}_i), \quad i = 1, 8
\]

Where: \( W_i \) are called the optimal parameters used only for analytical purposes and \( \bar{o}_i(\bar{x}_i) \) are the approximation errors, such as:

\[
|\bar{o}_i(\bar{x}_i)| \leq \bar{o}_i
\]

Where:

\( \bar{o}_i(\bar{x}_i) \) are unknown positive parameters.

The parametric errors are given by:

\[
\bar{W}_i = W_i - W_i^*, \quad i = 1, ..., 8
\]

In order to achieve precise flux and speed tracking, some assumptions have been put:

**Assumption1.** The functions \( f_i(\bar{x}_i) \), \( i = 1, 8 \) are continuous nonlinear functions assumed to be unknown.

**Assumption2.** The reference signals \( \Omega^* \), \( \phi^* \), \( \dot{\theta}_d^* \), \( \dot{i}_{dq}^* \), \( \dot{i}_{dq2}^* \), \( \dot{i}_{q2}^* \), \( \dot{i}_{q22}^* \) and their first derivatives are bounded and continuous.

**Assumption3.** The rotor and stator currents and the rotor speed are available for measurement.

The tracking errors and their filtered errors are given by:

- For rotor speed

\[
\bar{\Omega}(t) = \Omega(t) - \Omega^* \quad \text{with} \quad \bar{\Omega}(0) = 0
\]

For rotor flux

\[
\bar{\phi}_r(t) = \phi_r(t) - \phi_r^* \quad \text{with} \quad \bar{\phi}_r(0) = 0
\]

- For stator currents

\[
\bar{i}_{adl}(t) = i_{adl}(t) - \dot{i}_{adl}, \quad \bar{i}_{adl} = \dot{i}_{adl} + \lambda_{\omega} \int_{t_0}^{t} \bar{\phi}_r(\tau) d\tau
\]

\[
\bar{i}_{adl2}(t) = \dot{i}_{adl2} - \dot{i}_{adl2}, \quad \bar{i}_{adl2} = \dot{i}_{adl2} + \lambda_{\omega} \int_{t_0}^{t} \bar{\phi}_r(\tau) d\tau
\]

\[
\bar{i}_{uq1}(t) = i_{uq1}(t) - \dot{i}_{uq1}, \quad \bar{i}_{uq1} = \dot{i}_{uq1} + \lambda_{\omega} \int_{t_0}^{t} \bar{\phi}_r(\tau) d\tau
\]

\[
\bar{i}_{uq2}(t) = \dot{i}_{uq2} - \dot{i}_{uq2}, \quad \bar{i}_{uq2} = \dot{i}_{uq2} + \lambda_{\omega} \int_{t_0}^{t} \bar{\phi}_r(\tau) d\tau
\]

\[
\bar{i}_{uq22}(t) = \dot{i}_{uq22} - \dot{i}_{uq22}, \quad \bar{i}_{uq22} = \dot{i}_{uq22} + \lambda_{\omega} \int_{t_0}^{t} \bar{\phi}_r(\tau) d\tau
\]

Where:

\( \lambda_{\Omega}, \lambda_{\phi_r}, \lambda_{i_{adl}}, \lambda_{i_{adl2}}, \lambda_{i_{uq1}}, \lambda_{i_{uq2}}, \lambda_{i_{uq22}} \) and \( \lambda_{\omega} \) are strictly positive design parameters, and we admit that:

\[
\begin{aligned}
i_{uq1} + i_{uq2} &= i_{uq}, \
i_{adl} + i_{adl2} &= i_{ad}, \
\dot{i}_{uq1} &= \dot{i}_{uq}, \
\dot{i}_{adl} &= \dot{i}_{adl2} = \frac{i_{ad2}}{2}, \
\dot{i}_{uq2} &= \frac{i_{ad2}}{2}, \
\dot{i}_{uq22} &= 0
\end{aligned}
\]
where: 
$\sigma_8, \gamma_k, \sigma_k > 0$ (for $i = 1, 8$); these parameters are design constants.

**Theorem 1**

The following properties are valid for DSIM modeled by (8) and controlled by the adaptive laws presented in (31) and (32):

- The signals delimitation is guaranteed in closed-loop.
- The optimal choice of the setting parameters ensures the exponential convergence of the errors variables $\hat{\Omega}(t), \hat{\varphi}(t), \hat{\theta}_{ad1}(t), \hat{\theta}_{ad2}(t), \hat{\theta}_{ad3}(t), \hat{\theta}_{ad4}(t), \hat{\theta}_{ad5}(t)$ to a ball with an insignificant radius.

The proof of Theorem 1 is based on Lyapunov’s theory of stability. It is presented by a feedback structure with two consecutive steps:

**Step 1:** The purpose of this step is to lead the speed to its desired reference by an adequate speed controller. Using the formula of the filtered rotor speed error defined in (21):

$$S_\Omega = \hat{\Omega}(t) + \lambda_\Omega \int_0^t \hat{\Omega}(\tau) d\tau$$

(33)

Using (13), the time derivative of $S_\Omega$ is:
\[ \dot{S}_\alpha = \dot{\Omega}(t) + \lambda_{\alpha} \Omega \]  
\[ S_\alpha = \frac{p^2}{J} \frac{L_m}{L_m + L_r} \phi_i (i_{\text{ref}} + i_{\eta}^*) + f_1 - \dot{\Omega}^* + \lambda_{\alpha} \Omega \]  
\[ \dot{S}_\alpha = h_i(\phi_i) + \frac{p^2}{J} \frac{L_m}{L_m + L_r} \phi_i i_{\eta}^* \]  

Where:
\[ h_i(\phi_i) = f_i - \dot{\Omega}^* + \lambda_{\alpha} \Omega \]  
\[ i_{\eta}^* \] is the reference value of \[ (i_{\text{ref}} + i_{\eta}^*) \] that regulates the rotor speed and ensures the capacity of the load disturbances rejection. The Lyapunov function associated with the rotor speed error is presented by:
\[ V_i = \frac{1}{2} S_\alpha^2 \]  

The time derivative of (37) is:
\[ \dot{V}_i = S_\alpha \dot{h}_i(\phi_i) + S_\alpha \frac{p^2}{J} \frac{L_m}{L_m + L_r} \phi_i i_{\eta}^* \]  

The following adaptive fuzzy system is developed to approximate the uncertain continuous function \[ h_i(\phi_i) \] :
\[ \hat{h}_i(\phi_i) = W_{\theta i}^T H_i(\phi_i) \]  
\[ h_i(\phi_i) = W_{\theta i}^T H_i(\phi_i) + \bar{\theta}_i(\phi_i) \]  
\[ h_i(\phi_i) = -W_{\theta i}^T H_i(\phi_i) + W_{\theta i}^T H_i(\phi_i) + \bar{\theta}_i(\phi_i) \]  

Where: \[ \bar{W}_{\theta i} = W_{\theta i} - W_{\theta i}^* \] is the parameter error vector. By replacing (41) in (38), we obtain:
\[ \dot{V}_i = -S_\alpha \bar{W}_{\theta i}^T H_i(\phi_i) + S_\alpha W_{\theta i}^T H_i(\phi_i) + S_\alpha \bar{\theta}_i(\phi_i) + S_\alpha W_{\theta i}^T H_i(\phi_i) + S_\alpha \bar{\theta}_i(\phi_i) \]  

Where: \[ \bar{\theta}_i \] is an unknown constant such as:
\[ \| \bar{\theta}_i(\phi_i) \| \leq \bar{\theta}_i \]  

By choosing the expression of \[ i_{\eta}^* \] presented in (30) and using (43), we can make the following inequality:
\[ \ddot{V}_i \leq -S_\alpha \bar{W}_{\theta i}^T H_i(\phi_i) + k_{12} S_{\alpha} \| S_{\alpha} \| - k_{11} S_{\alpha}^2 \tan \left( \frac{S_{\alpha}}{e_{\text{ref}}} \right) - k_{12} S_{\alpha}^2 \]  

Where:
\[ k_{12} = \bar{\theta}_i \]  

**Lemma 1** the set \( \{ \epsilon_i > 0, x \in \mathbb{R} \} \) check the following inequality [18]:
\[ 0 \leq \epsilon_i - x \tanh \left( \frac{x}{\epsilon_i} \right) \leq \epsilon_i = \rho \epsilon_i \]  
\[ \rho = e^{-\epsilon_i} \approx 0.2785 \]  

By exploiting (46), (44) becomes:
\[ \dot{V}_i \leq -S_\alpha \bar{W}_{\theta i}^T H_i(\phi_i) + k_{12} e_{\text{ref}} - k_{12} S_{\alpha} \]  
\[ \tilde{k}_{12} S_{\alpha} \tanh \left( \frac{S_{\alpha}}{e_{\text{ref}}} \right) - k_{11} S_{\alpha}^2 \]  

Where:
\[ \tilde{k}_{12} = k_{12} - k_{12} \]  
\[ e_{\text{ref}} = 0.2785 e_{\text{ref}} \]  

The Lyapunov function linked to the adaptive laws that estimate the unknown parameters \[ \bar{W}_{\theta i} \] and \[ k_{12} \] is defined by:
\[ V_2 = V_i + \frac{1}{2} \bar{W}_{\theta i}^T \bar{W}_{\theta i} + \frac{1}{2} \bar{k}_{12}^2 \]  

The dynamics of Lyapunov function verify the following inequality:
\[ \dot{V}_2 \leq -S_{\alpha} \bar{W}_{\theta i}^T H_i(\phi_i) + k_{12} e_{\text{ref}} - k_{12} S_{\alpha} \tan \left( \frac{S_{\alpha}}{e_{\text{ref}}} \right) - k_{11} S_{\alpha}^2 + \frac{1}{2} \bar{k}_{12}^2 \]  

By substituting the values of \[ \bar{W}_{\theta i} \] and \[ \bar{k}_{12} \] chosen in (31) and (32), respectively, \[ \dot{V}_2 \] will be bounded by the following expression:
\[ \dot{V}_2 \leq k_{12} e_{\text{ref}} - k_{12} S_{\alpha}^2 - \sigma_{\theta i} \bar{W}_{\theta i}^T W_i - \sigma_{k_{12}} \bar{k}_{12} k_{12} \]  

**Property:**
\[ \tilde{\Theta}^T \Theta \leq - \frac{1}{2} \| \tilde{\Theta} \|^2 + \frac{1}{2} \| \Theta \|^2 \]  
\[ \tilde{\Theta} = \Theta - \Theta^* \in \mathbb{R}^n \]
Where: $m$ is a positive integer number. By using (52), (51) takes the following form:

$$
\dot{V}_2 \leq -k_1 S^2 \frac{\sigma_0}{2} \|\hat{W}_2\|^2 - \frac{\sigma_0}{2} \hat{k}^2 + \xi_1
$$

With:

$$
\xi_1 = k_{12}^r \sigma_{iq} + \frac{\sigma_0}{2} \|W_2\|^2 - \frac{\sigma_0}{2} \hat{k}_{12}^2
$$

(54)

The stabilization of the filtered errors $s_{adl}, s_{adl'}, s_{ad2l}, S_{ad2l'}$ and $s_{on2}$ will be achieved in the following step.

Step 2: The aim of this step is to design the following control laws: $i_{adl}, v_{adl}, v_{adl'}, v_{ad2l}, v_{ad2l'}$ and $v_{on2}$ . The Lyapunov function adapted to this step is given by:

$$
V_3 = V_2 + \frac{1}{2} S^2 + \frac{1}{2} S_{adl}^2 + \frac{1}{2} S_{adl'}^2 + \frac{1}{2} S_{ad2l}^2 + \frac{1}{2} S_{ad2l'}^2 +
$$

(55)

The dynamics of the Lyapunov function verify the following inequality:

$$
\dot{V}_3 \leq -k_1 S^2 \frac{\sigma_0}{2} \|\hat{W}_2\|^2 - \frac{\sigma_0}{2} \hat{k}^2 + S_{adl} \dot{s}_{adl} + S_{adl'} \dot{s}_{adl'} + S_{ad2l} \dot{s}_{ad2l} + S_{ad2l'} \dot{s}_{ad2l'} + S_{on2} \dot{s}_{on2}
$$

(56)

The derivatives of the filtered errors are obtained using (8) and (21) - (28):

$$
\dot{s}_{adl} = \frac{L_{s}}{L_{w}} R_{l} i_{adl} + f_3 + \lambda_{adl} i_{adl} - i_{adl}
$$

(57)

$$
\dot{s}_{adl'} = \frac{1}{L_{s1}} v_{adl} + f_4 + \lambda_{adl'} i_{adl'} - i_{adl'}
$$

$$
\dot{s}_{ad2l} = \frac{1}{L_{s2}} v_{ad2l} + f_6 + \lambda_{ad2l} i_{ad2l} - i_{ad2l}
$$

$$
\dot{s}_{ad2l'} = \frac{1}{L_{s2}} v_{ad2l'} + f_7 + \lambda_{ad2l'} i_{ad2l'} - i_{ad2l'}
$$

By replacing (57) in (56), we obtain:

$$
\dot{V}_3 \leq -k_1 S^2 \frac{\sigma_0}{2} \|\hat{W}_2\|^2 - \frac{\sigma_0}{2} \hat{k}^2 + \xi_1
$$

With:

$$
S_{adl} \left( h_2 (\bar{x}_2) + \frac{1}{L_{s1}} v_{adl} \right) + S_{adl'} \left( h_3 (\bar{x}_3) + \frac{1}{L_{s1}} v_{adl'} \right)
$$

$$
S_{ad2l} \left( h_4 (\bar{x}_4) + \frac{1}{L_{s2}} v_{ad2l} \right) + S_{ad2l'} \left( h_5 (\bar{x}_5) + \frac{1}{L_{s2}} v_{ad2l'} \right)
$$

$$
S_{on2} \left( h_6 (\bar{x}_6) + \frac{1}{L_{s2}} v_{on2} \right)
$$

(58)

With:

$$
\begin{align*}
\dot{h}_i (\bar{x}_i) &= f_2 + \lambda_{vi} \phi_i - \phi_i \\
\dot{h}_j (\bar{x}_j) &= f_3 + \lambda_{adl} \bar{i}_{adl} - \bar{i}_{adl} \\
\dot{h}_k (\bar{x}_k) &= f_4 + \lambda_{adl'} \bar{i}_{adl'} - \bar{i}_{adl'} \\
\dot{h}_m (\bar{x}_m) &= f_6 + \lambda_{ad2l} \bar{i}_{ad2l} - \bar{i}_{ad2l} \\
\dot{h}_n (\bar{x}_n) &= f_7 + \lambda_{ad2l'} \bar{i}_{ad2l'} - \bar{i}_{ad2l'}
\end{align*}
$$

(59)

$h_i (\bar{x}_i) , \ i = \overline{1,8}$ are continuous uncertainties functions, their approximation is performed by the following adaptive fuzzy system:

$$
\hat{h}_i (\bar{x}_i) = W_i^T H_i (\bar{x}_i)
$$

(60)

$$
\hat{h}_i (\bar{x}_i) = W_i^T H_i (\bar{x}_i) + \bar{e}_i (\bar{x}_i)
$$

(61)

$$
\dot{h}_i (\bar{x}_i) = -\hat{W}_i^T H_i (\bar{x}_i) + \hat{W}_i^T H_i (\bar{x}_i) + \bar{e}_i (\bar{x}_i) \text{ for } i = \overline{1,8}
$$

(62)

Where: $\hat{W}_i = W_i - W_i$ expresses the error vector, $\bar{x}_i$ is pre-defined, $\bar{e}_i (\bar{x}_i)$ is the fuzzy approximation error that checks:

$$
\bar{e}_i (\bar{x}_i) \leq \bar{e}_i , \ \forall \bar{x}_i \in D
$$

(63)

Where: $\bar{e}_i$ is an unknown constant.

If we select the adaptive fuzzy controller components proposed in (30) and the continuous uncertainties functions $h_i (\bar{x}_i)$ developed in (62), $\dot{V}_3$ will be bounded by the following term:
\[ V_3 \leq -k_1 S_{\Omega_1} - \frac{\sigma_W}{2} \| \hat{W}_i \|^2 - \frac{\sigma_h}{2} \hat{e}_{12}^2 + \tilde{e}_i - S_\theta \hat{W}_2^T H_2 (\tilde{x}_2) + \]
\[ k_2^* \| S_{\Omega_1} - k_2 S_\theta \| \tanh \left( \frac{S_{\Omega_1}}{\epsilon_{\Omega_1}} \right) - \frac{k_2}{2} S_{\Omega_1} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{22} \| S_{\Omega_1} - k_{22} S_\theta \| \tanh \left( \frac{S_{\Omega_1}}{\epsilon_{\Omega_1}} \right) - \frac{k_{22}}{2} S_{\Omega_1} - S_{\Omega_2} \hat{W}_2^T H_2 (\tilde{x}_2) + \]
\[ k_{32} \frac{\| S_{\Omega_2} - k_{32} S_\theta \|}{\epsilon_{\Omega_2}} \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k_{32}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{42} \frac{\| S_{\Omega_2} - k_{42} S_\theta \|}{\epsilon_{\Omega_2}} \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k_{42}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{32} \frac{\| S_{\Omega_2} - k_{32} S_\theta \|}{\epsilon_{\Omega_2}} \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k_{32}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{42} \frac{\| S_{\Omega_2} - k_{42} S_\theta \|}{\epsilon_{\Omega_2}} \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k_{42}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{42} \frac{\| S_{\Omega_2} - k_{42} S_\theta \|}{\epsilon_{\Omega_2}} \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k_{42}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{42} \frac{\| S_{\Omega_2} - k_{42} S_\theta \|}{\epsilon_{\Omega_2}} \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k_{42}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k^*_{32} \| S_{\Omega_2} - k_{32} S_\theta \| \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k^*_{32}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k^*_{42} \| S_{\Omega_2} - k_{42} S_\theta \| \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k^*_{42}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]

Where:

\[ \begin{aligned}
\{ k_i, i = 2, \ldots, 8 \}
\end{aligned} \]

By exploiting (46), the inequality (64) becomes:

\[ V_3 \leq -k_1 S_{\Omega_1} - \frac{\sigma_W}{2} \| \hat{W}_i \|^2 - \frac{\sigma_h}{2} \hat{e}_{12}^2 + \tilde{e}_i - S_\theta \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_2^* \| S_{\Omega_1} - k_2 S_\theta \| \tanh \left( \frac{S_{\Omega_1}}{\epsilon_{\Omega_1}} \right) - \frac{k_2}{2} S_{\Omega_1} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{22} \| S_{\Omega_1} - k_{22} S_\theta \| \tanh \left( \frac{S_{\Omega_1}}{\epsilon_{\Omega_1}} \right) - \frac{k_{22}}{2} S_{\Omega_1} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{32} \| S_{\Omega_2} - k_{32} S_\theta \| \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k_{32}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]
\[ k_{42} \| S_{\Omega_2} - k_{42} S_\theta \| \tanh \left( \frac{S_{\Omega_2}}{\epsilon_{\Omega_2}} \right) - \frac{k_{42}}{2} S_{\Omega_2} - S_{\Omega_2} \hat{W}_2^T H_3 (\tilde{x}_3) + \]

The derivation of (69) gives:

\[ V_4 = V_4 + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_2 + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_3 + \]
\[ \frac{1}{2\gamma_{W_i}} \hat{k}_{32} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_4 + \frac{1}{2\gamma_{W_i}} \hat{k}_{42} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_5 + \]
\[ \frac{1}{2\gamma_{W_i}} \hat{k}_{32} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_6 + \frac{1}{2\gamma_{W_i}} \hat{k}_{42} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_7 + \]

\[ \frac{1}{2\gamma_{W_i}} \hat{k}_{32} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_8 + \frac{1}{2\gamma_{W_i}} \hat{k}_{42} \]

\[ \{ W_i, k_i \}, i = 2, \ldots, 8 \] are unknown parameters, their estimation requires an adaptive law defined by the following Lyapunov function:

\[ V_4 = V_4 + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_2 + \frac{1}{2\gamma_{W_i}} \hat{k}_{32} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_3 + \]
\[ \frac{1}{2\gamma_{W_i}} \hat{k}_{32} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_4 + \frac{1}{2\gamma_{W_i}} \hat{k}_{42} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_5 + \]
\[ \frac{1}{2\gamma_{W_i}} \hat{k}_{32} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_6 + \frac{1}{2\gamma_{W_i}} \hat{k}_{42} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_7 + \]

\[ \frac{1}{2\gamma_{W_i}} \hat{k}_{32} + \frac{1}{2\gamma_{W_i}} \hat{W}_2^T \hat{W}_8 + \frac{1}{2\gamma_{W_i}} \hat{k}_{42} \]

And:

\[ \tilde{e}_{i2} = k_{i2} - k^*_{i2} \]

\[ \{ k_i, i = 2, \ldots, 8 \} \]

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By using (52), we obtain:

\[
\dot{V}_4 \leq k_2 S_{\bar{s}}^2 - \frac{\sigma_{W_2}}{2} \|\dot{W}_2\|^2 - \frac{\sigma_{k_2}}{2} S_{\bar{k}_2}^2 - k_{\bar{k}_2} S_{\bar{e}_4}^2 - \frac{\sigma_{W_2}}{2} \|\dot{W}_2\|^2 - \frac{\sigma_{k_2}}{2} S_{\bar{k}_2}^2 - k_{\bar{k}_2} S_{\bar{e}_4}^2
\]

If we multiply (73) by the exponential term \(e^{\mu t}\), we obtain [18]:

\[
\frac{d}{dt} (V_t e^{\mu t}) \leq \mu e^{\mu t}
\]

The integration of (75) from 0 to \(t\) gives us:

\[
0 \leq V_t \leq \frac{\mu}{\eta} \left( V_4(0) - \frac{\mu}{\eta} \right) e^{\mu t}
\]

Where: \(\mu\) is a randomly selected parameter and \(\eta\) is chosen according to the design parameters. According to [18]: the bounded interval of \(V_t\) presented by (76) reflects the exponential convergence to an adaptable residual set for tracking errors, filtered tracking errors and parameter estimation errors, adding to this the delimitation of all closed-loop signals.

V. SIMULATION RESULTS

The DSIM studied in this paper is powered by two voltage source inverters with a pulse wide modulation (PWM) control strategy. Its nominal electrical and mechanical parameters are as follows: \(P_s = 5.5\) kW, \(R_{s1} = R_{s2} = 3.72\ \Omega\), \(R_c = 2.12\ \Omega\), \(L_{s1} = L_{s2} = 0.022\ H\), \(L_r = 0.006\ H\), \(L_m = 0.3672\ H\), \(J = 0.0625\ kg\ m^2\), \(K_f = 0.001\ Nm.(rd/s)\) and \(p = 1\). The efficiency and robustness of the proposed control compared to the SMC proposed in [12, 13] with different modes of operation, especially in post-fault operation are shown through simulation results using the MATLAB/SIMULINK environment. The reference speed is set at 200\(rd/s\).

The simulations presented in Fig.2 show the DSIM responses in healthy and defective mode with the SMC proposed in [12, 13] and the proposed FTC. The results showed the superior performance of the proposed FTC based on the RBFNN. The DSIM is starting with a balanced squirrel cage rotor from zero to the nominal speed, at \(t = 2s\), DSIM is loaded by \(T_s = T_{SL} = 15\ Nm\), a simulation of the BRB fault is caused at \(t = 3s\). During the un-faulty mode, the speed follows its reference value with a negligible overshoot and without oscillations, but it is clearly shown that the FTC has the fastest dynamic response by imposing a short transient regime, the load torque is very well compensated by the electromagnetic torque (before \(t = 3s\)). It is clear that after the fault occurrence, an abnormal behavior of the DSIM is observed with the SMC proposed in [12, 13] accompanied by a closed-loop performance degradation; speed oscillations are visible in Fig.2.a and through the zoom presented in Fig.2.b. The stator phase current is not sinusoidal, the distortion of the signal is caused by the fault effect, the oscillations on this physical quantity are visible in Fig.2.c and Fig.2.d, their amplitude can reach up to \(15A\) greater than the nominal value of the current.
The flux trajectory is presented in Fig.2.e; SMC proposed in [12, 13] provide ripples after the appearance of the BRB fault. High ripples in the electromagnetic torque can be view in Fig.2.f, where the maximum positive ripple reaches +54 Nm and the maximum negative ripple reaches +25 Nm. Regarding the proposed FTC, oscillations in rotor speed are considerably reduced as indicated by the Fig.2.a and Fig.2.b, the proposed FTC guarantees a better speed response with precise reference tracking and also provides better stability with the smallest average static error. The tracking performance of the stator current has a small change, the current signal is not sinusoidal but does not exceed its nominal value, this deformation represented in Fig.2.d expresses the compensation of the BRB fault effect by the stators phases. Fig.2.e proves that the proposed FTC is able to correctly lead the flux with a fast dynamic to its desired reference (1Wb) even under rotor fault. No ripple in the electromagnetic torque signal during the faulty operation as shown in Fig.2.f. Finally, it can be seen from the simulations results that the BRB fault does not affect the performances of the proposed FTC even in presence of the load torque while SMC proposed in [12, 13] is unable to properly handle the machine with an unbalanced rotor.

VI. CONCLUSION

In this paper, an adaptive RBFNN control method has been proposed for a class of MIMO nonlinear system which is a double star induction machine in the presence of bounded external and internal disturbances. The proposed FTC maintains the maximum performance of DSIM, even in the event of broken bar fault. The effectiveness of the proposed FTC is validated using MATLAB / SIMULINK. The results obtained show that the proposed fault-tolerant approach is capable of handling post-fault operation and provides satisfactory performance in terms of speed and torque responses, even under such abnormal conditions. In addition, the comparative study with other newly developed work on a multiphase induction machine showed improved fault tolerance performance. The proposed fault-tolerant control could be a realistic solution and a powerful alternative to existing FTC methods. The future works should envisage the experimental implementation of the proposed control scheme.


### Authors’ Profiles

**Noureddine Layadi** was born in Bordj-Bou-Arreridj, Algeria. He received his Engineer degree in Automatic from Sétif University and Master Diploma in Automatic from University of Mohamed El Bachir El Ibrahimi, Bordj-Bou-Arreridj, Algeria in 1998 and 2015, respectively. He is currently an assistant professor at the department of electrical engineering at the University of Mohamed Boudiaf, M’Sila, Algeria. His research focuses on the control of multiphase induction machines. His current project is the fault-tolerant control of a dual star induction machine.

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