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A Checkpointing Algorithm Based Unreliable Non-FIFO Channels

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Abstract

We propose a coordinated checkpointing algorithm based unreliable non-FIFO channel. In unreliable non-FIFO channel, the system can lose, duplicate, or reorder messages. The processes may not compute some messages because of message losses; the processes may compute some messages twice or more because of message duplicate; the processes may not compute messages according to their sending order because of message reordering. The above-mentioned problems make processes produce incorrect computation result, consequently, prevent processes from taking consistent global checkpoints. Our algorithm assigns each message a sequence number in order to resolve above-mentioned problems. During the establishing of the checkpoint, the consistency of checkpoint can be determined by the sequence number of sending and receiving messages. We can identify the lost messages, reordering messages and duplicate messages by checking the sequence number of sending and receiving messages. We resolve above-mentioned problems by resending the lost messages, buffering the reordering messages and dropping the duplicate messages. Our algorithm makes processes take consistent global checkpoints.

Index Terms: unreliable non-FIFO channel; message losses; message duplicate; message reordering; consistent global checkpoints

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1. Introduction

Checkpointing and rollback-recovery are popular techniques that permit processes to make progress despite a process fails. We assume that the failures are transient problems. The failures are improbable to recur when the process restarts. With this scheme, a process takes a checkpoint periodically by saving its state on stable storage [1]. When a process has a failure, it rolls back to its most recent checkpoint that saves the state of this process and restarts execution.

Most checkpointing algorithms generally assume the communication channels are reliable FIFO channels [2, 3, 4]. Now, we propose a coordinated checkpointing algorithm based unreliable non-FIFO channel. In

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unreliable non-FIFO channel, the system can lose, duplicate, or reorder messages [5]. The processes may not compute some messages because of message losses; the processes may compute some messages twice or more because of message duplicate; the processes may not compute messages according to their sending order because of message reordering. The above-mentioned problems make processes produce incorrect computation result, consequently, prevent processes from taking consistent global checkpoints. Our algorithm can resolve these problems, and our algorithm can prevent “domino effect” and live problems associated with rollback-recovery.

The rest of the paper is organized as follows. Section 2 develops the necessary background. In Section 3, we describe a checkpointing algorithm based unreliable non-FIFO channels. The correctness proof is provided in Section 4. Section 5 concludes the paper.

2. Background

2.1. System Model

The distributed system considered in this paper consists of $N+1$ processes denoted by $P_1, P_2, \dots, P_n, P_c$. The processes denoted by P_1, P_2, \dots, P_n are ordinary processes and the process P_c is the coordinate process. The processes do not share a common memory or a common clock. Message passing is the only way for processes to communicate with each other. Each ordinary process progresses at its own speed and messages are exchanged through unreliable non-FIFO communication channel. P_c is used to coordinate the creation of the consistent checkpoints. We assume that P_c communicates with each ordinary process through reliable FIFO channel. The messages transmitted between ordinary processes are referred to as computation messages, and the messages transmitted between coordinating process and ordinary process are referred to as system messages. In order to ensure correct computation, if P_i sends computation messages to P_j , P_j must compute the computation messages from p_i according to the sending order.

Each checkpoint taken by a process is assigned a unique sequence number. The checkpoint sequence number of the process P_i is denoted by csn_i . The j_{th} ($j>0$) checkpoint of process P_i is assigned a sequence number j and csn_i is set to j . The j_{th} checkpoint interval[6] of process P_i denotes all the computation performed between its j_{th} and $(j+1)_{th}$ checkpoint, including the j_{th} checkpoint but not the $(j+1)_{th}$ checkpoint.

Each computation message sent by P_j is assigned a sequence number. The sequence number of each computation message is denoted by mid . In i_{th} ($i \geq 0$) checkpoint interval of P_j , the mid of first computation message sent to P_k ($k \neq j$) is set to 1, and the mid of subsequent computation message sent to P_i increases monotonically. mid of a computation message m is denoted by $m.mid$.

2.2. Checkpoints Creation

Chandy and Lamport [7] formally defined the concept of a consistent distributed system state. Briefly, a consistent distributed system state is formed by a set of process states. A checkpoint is a saved state of a process. A set of checkpoints, one per process in the system, is consistent if the saved states form a consistent distributed system state.

Our algorithm saves two types of checkpoints on stable storage: tentative and permanent. A permanent checkpoint can't be undone, and a tentative checkpoint can be undone or changed to a permanent checkpoint.

Each ordinary process P_i only computes the effective computation messages in the received messages. A computation message m_1 is a effective computation message if and only if m_1 is first received by P_i .

Definition 1. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; message sent set of P_i in h_{th} checkpoint interval is defined as:

$$MS_i = \{ MS_{i1}, MS_{i2}, \dots, MS_{in} \}, i=1, 2, \dots, n$$

Where, MS_{ij} ($i \neq j$) denotes the set of the messages that P_i sends to P_j in h_{th} checkpoint interval.

Definition 2. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; message received set of P_i in h_{th} checkpoint interval is defined as:

$$MR_i = \{ MR_{i1}, MR_{i2}, \dots, MR_{in} \}, i=1, 2, \dots, n$$

Where, MR_{ij} ($i \neq j$) denotes the set of the messages that P_i receives from P_j in h_{th} checkpoint interval.

We assume that MR_{ij} .Mid denotes the maximum mid of the messages in MR_{ij} .

Definition 3. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; message computed set of P_i in h_{th} checkpoint interval is defined as:

$$MC_i = \{ MC_{i1}, MC_{i2}, \dots, MC_{in} \}, i=1, 2, \dots, n$$

Where, MC_{ij} ($i \neq j$) denotes the set of the messages that P_i computes from P_j in h_{th} checkpoint interval.

Theorem 1. If $\forall m_k, m_k \in MS_{ij} \wedge m_k \in MC_{ji}$ ($i=1,2,\dots,n; j=1,2,\dots,n; i \neq j$), then the system has a consistent distributed system state.

Proof. Since MS_{ij} ($i \neq j$) denotes the set of the messages that P_i sends to P_j in h_{th} checkpoint interval, MC_{ji} ($i \neq j$) denotes the set of the messages that P_j computes from P_i in h_{th} checkpoint interval; If $\forall m_k, m_k \in MS_{ij} \wedge m_k \in MC_{ji}$ ($i \neq j$), which denotes that P_j has computed all the messages that P_i has sent. If $\forall m_k, m_k \in MS_{ij} \wedge m_k \in MC_{ji}$ ($i=1, 2, \dots, n; i \neq j$), which denotes that P_j has computed all the messages from other processes. If $\forall m_k, m_k \in MS_{ij} \wedge m_k \in MC_{ji}$ ($i=1,2,\dots,n; j=1,2,\dots,n; i \neq j$), which denotes that all the processes has computed all the messages from other processes. In conclusion, the system has a consistent distributed system state. So the conclusion is true.

From the meaning of computer clock, the interprocess communication is not synchronous because different computer clock is difficult to achieve synchronization. The improved vector logical clock[8,9,10] is proposed in this paper in order to better describe communication of inter-process.

Definition 4. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; the improved vector logical clock of P_i is defined as:

$$R_i = (R_{i1}, R_{i2}, \dots, R_{in}), i=1, 2, \dots, n$$

Where, $R_{ij} (i \neq j)$ is a nonnegative integer variable maintained by P_i . Its value is one larger than maximum mid of messages in MC_{ij} .

Definition 5. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; the sending vector of P_i is defined as:

$$S_i = (S_{i1}, S_{i2}, \dots, S_{in}), i=1, 2, \dots, n$$

Where, $S_{ij} (i \neq j)$ is a nonnegative integer variable maintained by P_i . Its value is equal to maximum mid of messages in MS_{ij} .

Theorem 2. If $R_{ij} = S_{ji} + 1 (i \neq j)$, then the messages that are sent to P_i by P_j are computed by P_i .

Proof. Since the value of R_{ij} is one larger than maximum mid of messages in MC_{ij} , the value of S_{ji} is equal to maximum mid of messages in MS_{ji} , so the conclusion is true.

Theorem 3. If $R_{ij} = S_{ji} + 1 (i=1,2,\dots,n; j=1,2,\dots,n; i \neq j)$, then the system has a consistent distributed system state.

Proof. Since the value of R_{ij} is one larger than maximum mid of messages in MC_{ij} , the value of S_{ji} is equal to maximum mid of messages in MS_{ji} . According to theorem 1 and theorem 2, so the conclusion is true.

The process of checkpointing is as follows: When P_c initiates a checkpointing process, it propagates checkpointing request to the ordinary processes. When P_i receives a checkpointing request, P_i will take a tentative checkpoint if $R_{ij} = MR_{ij} \cdot \text{Mid} (j=1,2,\dots,n; i \neq j)$. If $R_{ij} = S_{ji} + 1 (i=1,2,\dots,n; j=1,2,\dots,n; i \neq j)$, we know that the tentative checkpoints are consistent according to theorem 3; so P_c informs the ordinary processes to make the tentative checkpoints permanent.

2.3. Identification of problems

In unreliable non-FIFO channel, the system can lose, duplicate, or reorder messages [5].

The relation of between a computation message m_k and MC_i is as follows: When P_i receives a computation message m_k from P_j , if $m_k \in MS_{ji} \wedge m_k \cdot \text{mid} = R_{ij}$, P_i will computes m_k and m_k will be put into MC_{ij} . R_{ij} adds 1 automatically. If only $\exists m_l \in MR_{ij} \wedge m_l \in MS_{ji} \wedge m_l \cdot \text{mid} = R_{ij}$, P_i will computes m_l and m_l will be put into MC_{ij} .

We assume that $cp\text{-state}_i$ is a Boolean which is set to 1 if P_i is in the checkpointing process.

Definiton 6. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; If

$\exists m_k, (m_k \in MS_{ij} \wedge m_k.\text{mid} < MR_{ji}.\text{mid} \wedge m_k \notin MR_{ji}) \vee (m_k \in MS_{ij} \wedge cp\text{-state}_i = 1 \wedge m_k.\text{mid} >= R_{ji})$, which denotes message m_k is lost.

Definition 7. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; P_j receives a computation message m_k from P_i . If $m_k \in MS_{ij} \wedge m_k.\text{mid} > R_{ji} \wedge m_k \notin MR_{ji}$, which denotes message m_k is reordered..

Definition 8. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; P_j receives a computation message m_k from P_i . If $(m_k \in MS_{ij} \wedge \exists m_l \in MR_{ij} \wedge m_k.\text{mid} = m_l.\text{mid}) \vee (m_k \notin MS_{ij})$, which denotes message m_k is duplicate.

In Fig.1, the system has taken $(i-1)_{th}$ ($i \geq 1$) consistent checkpoint. P_1 sends the computation message m_1, m_2, m_3 and m_4 to P_2 in $(i-1)_{th}$ checkpoint interval. $m_1.\text{mid}, m_2.\text{mid}, m_3.\text{mid}$ and $m_4.\text{mid}$ are assigned 1, 2, 3 and 4 respectively according to the sending order of messages. $MS_{12} = \{m_1, m_2, m_3, m_4\}$. Message m_1 first resent by P_1 is denoted by m_1^1 , and message m_1 resent a second time by P_1 is denoted by m_1^2 .

After P_2 receives message m_3 and m_4 , MR_{21} is equal to $\{m_3, m_4\}$ and MC_{21} is NULL. $MR_{21}.\text{mid}$ is equal to 4. Now, m_1 and m_2 are in MS_{12} , but $m_1.\text{mid}$ and $m_2.\text{mid}$ are less than $MR_{21}.\text{mid}$ and m_1 and m_2 are not in MR_{21} ; so m_1 and m_2 are lost during delivery and P_2 will never obtain the messages.

When P_2 receives message m_3 , MR_{21} is NULL and MC_{21} is NULL. R_{21} is equal to 1. Now, m_3 is in MS_{12} , but $m_3.\text{mid}$ is larger than R_{21} and m_3 is not in MR_{21} ; so m_3 is reordered. When P_2 receives message m_4 , MR_{21} is equal to $\{m_3\}$ and MC_{21} is NULL. $MR_{21}.\text{mid}$ is equal to 3 and R_{21} is equal to 3. Now, m_4 is in MS_{12} , but $m_4.\text{mid}$ is larger than R_{21} and m_4 is not in MR_{21} ; so m_4 is reordered.

After P_2 receives message m_1^2 , MR_{21} is equal to $\{m_1^2, m_3, m_4\}$. Because $m_1^2.\text{mid}$ is equal to R_{21} , so P_2 computes message m_1^2 and m_1^2 is put into MC_{21} . R_{21} adds 1 automatically. After P_2 receives message m_2^2 , MR_{21} is equal to $\{m_1^2, m_2^2, m_3, m_4\}$. Because $m_2^2.\text{mid}$ is equal to R_{21} , so P_2 computes message m_2^2 and m_2^2 is put into MC_{21} . R_{21} adds 1 automatically. P_2 computes message m_3 and m_3 is put into MC_{21} because $m_3.\text{mid}$ is equal to R_{21} and m_3 is in MR_{21} . It is the same with message m_4 . When P_2 receives message m_1^1 , MR_{21} is equal to $\{m_1^2, m_1^1, m_2^2, m_3, m_4\}$. Because $m_1^1.\text{mid}$ is equal to $m_1^2.\text{mid}$, so m_1^1 is a duplicate. When P_2 receives message m_1^1 in i_{th} checkpoint interval, because m_1^1 is sent by P_1 in $(i-1)_{th}$ checkpoint interval, so m_1^1 is a duplicate.

In order to take a consistent set of checkpoints, our coordinated checkpointing algorithm must resolve message losses, message reordering and messages duplicate. The reason of livelocks [3] is that a process

receives the same computation message twice when the process rollback recovery. We can resolve the livelocks by using the measure of resolving the messages duplicate.

2.4. Handling the problems

In order to get correct computation and guarantee a correct recovery following a failure, we must take a consistent set of checkpoints. So we should ensure that the above-mentioned problems are resolved correctly.

1. Message Losses

Message losses is defined that some messages are lost during delivery. Message losses can lead to the incorrect computation result and inconsistency. We let ordinary processes resend the lost computation messages to resolve the message losses. So we must save the determinants of each computation message on the stable storage of the sender process.

Definition 9. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; the set of sending lists is defined as:

$$SQ_i = \{SQ_{i1}, SQ_{i2}, \dots, SQ_{in}\}, i=1, 2, \dots, n$$

Where, SQ_{ij} is a list of records maintained by each process P_i for sending the computation message to P_j in k_{th} ($k \geq 0$) checkpoint interval. Each record has the following fields: Mid and Contents. Mid is the mid of the sent message. Contents is the contents of the sent message. $SQ_{ij}[k]$ is the k_{th} record of P_i 's SQ_{ij} list;

The process P_i will save the determinants of message m_k to $SQ_{ij}[k]$ on the stable storage after process P_i sends a computation message m_k to P_j in b_{th} ($b \geq 0$) checkpoint interval. $SQ_{ij}[k].Mid$ and $SQ_{ij}[k].Contents$ are k and m_k respectively. P_j will send resending message request when P_j checks that message m_l from P_i is lost. P_i receives the resending message request and resend the message m_l saved in SQ_{ij} to P_j .

In order to make more efficient use of stable storage, each process P_i will empty SQ_{ij} ($j=1,2,\dots,n$) if $(b+1)_{th}$ consistent checkpoint is taken.

2. Message Reordering

Message reordering is defined that some messages are reordered. If we compute the messages according the receiving order, the system may lead to the incorrect result. In order to resolve the message reordering, we must let each process computes the messages from the same process according to sending order.

Definition 10. Suppose P_1, P_2, \dots, P_n denote all ordinary processes in a distributed system; the set of receiving lists is defined as:

$$RQ_i = \{RQ_{i1}, RQ_{i2}, \dots, RQ_{in}\}, i=1, 2, \dots, n$$

Where, RQ_{ij} is a list of records maintained by each process P_i for saving the reordered messages from P_j in k_{th} ($k \geq 0$) checkpoint interval, where each record has the following fields: Mid and Contents. Mid is the mid of

the received message. Contents is the contents of the received message. $RQ_{ij}[k]$ is the k_{th} record of P_i 's RQ_{ij} list;

The process P_i receives a computation message m_k ($k > 1$) from P_j . If message m_{k-1} has not been computed, message m_k is reordered. So process P_i will save the determinants of message m_k to $RQ_{ij}[n]$ ($n \geq 1$) on the stable storage. If message m_{k-1} has been computed, P_i will compute message m_k . If only message m_{k+1} is saved in $RQ_{ij}[m]$ ($m \geq 1$), P_i computes the message m_{k+1} got from $RQ_{ij}[m]$ and remove the $RQ_{ij}[m]$ from RQ_{ij} .

3. Message Duplicate

When a process P_i receives a computation message m_k from P_j , P_i will detect whether the message m is a duplicate. In our algorithm, when m is a duplicate message, we will drop the message.

3. A Checkpointing Algorithm Based Unreliable Non-fifo Channels

We suppose that the coordinate process P_c initiates the checkpointing process every a fixed time; and suppose that the checkpointing process must be finished in a fixed time. If the checkpointing process is not finished in the fixed time, the checkpoints can not be taken and the algorithm exits because of timeout.

3.1. The Notations and The Data Structures

The following notations and data structures are used in our algorithm:

- cp_state_i : A Boolean which is set to 1 if P_i is in the checkpointing process.
- csn_i : checkpoint sequence numbers (csn) at each process P_i .
- $minMid_i[j]$: A nonnegative integer variable maintained by P_i . Its value is equal to minimum mid of messages from P_j that were saved in RQ_{ij} by P_i .
- $scount_i[j]$: A nonnegative integer variable maintained by P_i . Its value is equal to the number of records in SQ_{ij} .
- $rcount_i[j]$: A nonnegative integer variable maintained by P_i . Its value is equal to the number of records in RQ_{ij} .
- request: It has three fields:
 - P_d : the identification of a process;
 - Min: Its value is equal to the minimum mid of messages that should be resent;
 - Max: Its value is one larger than the maximum mid of messages that should be resent;
- If $P_d = \text{NULL}$, the request denotes checkpointing request, otherwise the request denotes resending message request.
- reply: It is set to 1 if ordinary processes can make the tentative checkpoints permanent; otherwise it is set to 0 if all ordinary processes should undo the tentative checkpoints and the algorithm exits because of timeout.

cp-state_{*i*}, csn_{*i*}, S_{*ij*}, minMid_{*i*}[j], scout_{*i*}[j] and rcount_{*i*}[j] of P_{*i*} are initialized to 0. SQ_{*ij*} and RQ_{*ij*} of P_{*i*} are initialized to NULL. R_{*ij*} of P_{*i*} is initialized to 1.

3.2. Checkpointing Algorithm

In this section, we present our blocking checkpointing algorithm.

1. Checkpointing Initiation

The coordinator P_{*c*} can initiate a checkpointing process. When P_{*c*} initiates a checkpointing process, it propagates checkpointing request to the ordinary processes.

2. Reception of a request message

A process P_{*i*} receives a request from the coordinator P_{*c*}. If cp-state_{*i*}=0 ∧ request.P_{*d*}=NULL, the request is a checkpointing request; otherwise the request is a resending message request.

When the request is a checkpointing request, cp-state_{*i*} will be set to 1 and P_{*i*} sends S_{*i*} and R_{*i*} to coordinator P_{*c*}. If RQ_{*i*}=NULL, which denotes that all the computation messages received by P_{*i*} has been computed, P_{*i*} will take a tentative checkpoint.

When the request is a resending message request, P_{*i*} will resend the messages whose mid is equal to or larger than request.min and less than request.max to the process request.P_{*d*}.

3. Sending a Computation Message

When process P_{*i*} sends a computation message to process P_{*j*}, it will attach the following information: mid and csn_{*i*}.

4. Receiving a Computation Message

When process P_{*i*} receives a computation message from process P_{*j*}, it will first check if rec-mid= R_{*ij*} ∧ rec-csn_{*j*}= csn_{*i*}. If so, P_{*i*} will compute the message and increase R_{*ij*}. And then it check if the message whose mid is equal to R_{*ij*} is saved in the RQ_{*ij*} until RQ_{*i*} is NULL or the message whose mid is equal to R_{*ij*} is not saved in the RQ_{*ij*}. If so, P_{*i*} gets the message from RQ_{*ij*}, then P_{*i*} computes and removes the message from RQ_{*ij*}. P_{*i*} increases R_{*ij*} and minMid_{*i*}[j] is set to the minimal mid of the messages in RQ_{*ij*}. If RQ_{*ij*}=NULL, minMid_{*i*}[j] is set to 0; otherwise minMid_{*i*}[j] is set to the minimal mid of the messages in RQ_{*ij*}.

P_{*i*} will drop the message if the message whose mid is rec-mid has been saved in the RQ_{*ij*}. If rec-mid < minMid_{*i*}[j] ∧ rec-mid > R_{*ij*} ∧ rec-csn_{*j*}= csn_{*i*}, P_{*i*} saves the message in the RQ_{*ij*} and minMid_{*i*}[j] is set to rec-mid. P_{*i*} sends a resending request message to P_{*c*} in order to inform P_{*j*} to resend the messages whose mid

is equal to or larger than R_{ij} and less than $\text{minMid}_i[j]$. If $\text{rec-mid} > \text{minMid}_i[j] \wedge \text{rec-csn}_j = \text{csn}_i$, P_i saves the message in the RQ_{ij} .

After process P_i finishes the above actions, it will check if cp-state_i is equal to 1. If cp-state_i is equal to 1, P_i will take the tentative checkpoint if RQ_{ij} is NULL.

5. Actions in the second phase for the coordinator P_c

P_c receives R_i and S_i of each process P_i . If $R_{ij} \neq S_{ji}+1$, P_c will inform P_i to resend the messages whose mid is equal to or larger than R_{ij} and less than $S_{ji}+1$. For each process P_i , P_c will inform P_i to make its tentative checkpoint permanent if $R_{ij} = S_{ji}+1$. When time is timeout, P_c will inform each process P_i to cancel its tentative checkpoint.

3.3. Algorithm Description

Actions taken when P_i sends a computation message to P_j :

if $\text{cp-state}_i = 0$ then

send($P_i, P_j, \text{message}, \text{mid}, \text{csn}_i$);

$S_{ij} := \text{mid}; \text{scount}_i[j] := \text{scount}_i[j] + 1;$

$SQ_{ij}[\text{scount}_j].\text{mid} := \text{mid};$

$SQ_{ij}[\text{scount}_j].\text{contents} := \text{message};$

Actions at process P_i , on receiving a computation message from P_j :

receive($P_j, P_i, \text{message}, \text{rec-mid}, \text{rec-csn}_j$);

if $\text{rec-mid} = R_{ij} \wedge \text{rec-csn}_j = \text{csn}_i$ then

compute the message;

$R_{ij} := R_{ij} + 1;$

while $R_{ij} = \text{minMid}_i[j]$ do

temp:=1;

while temp \leq $\text{rcount}_i[j] \wedge RQ_{ij}[\text{temp}].\text{mid} \neq R_{ij}$ then

temp:=temp+1;

Get the message from $RQ_{ij}[\text{temp}]$.

Compute the message;

Remove $RQ_{ij}[\text{temp}]$ from RQ_{ij} ;

$\text{rcount}_i[j] := \text{rcount}_i[j] - 1; R_{ij} := R_{ij} + 1;$

if $RQ_{ij} = \text{NULL}$ then

```

    minMidi [j]=0;
else
    temp:=1; minMidi [j]:= RQij [temp].mid;
    while temp<= rcounti [j] then
        If RQij [temp].mid< minMidi [j] then
            minMidi [j]:= RQij [temp].mid;
            Temp:=temp+1;
else
    if rec-mid<minMidi [j] ∧ rec-mid > Rij ∧ rec-csnj = csni then
        minMidi [j]:=rec-mid; rcounti [j]:= rcounti [j]+1;
        RQij [ rcounti [j]].mid=rec-mid;
        RQij [ rcounti [j]].contents=message;
        Send(Pi, Pj, Rij, minMidi [j]);
    else
        if rec-mid> minMidi [j] ∧ rec-csnj = csni then
            if RQij ≠ NULL then
                temp:=1;
                While temp<= rcounti [j] ∧ RQij [temp].mid ≠ rec-mid then
                    temp:=temp+1;
                If temp> rcounti [j] then
                    rcounti [j]:= rcounti [j]+1;
                    RQij [ rcounti [j]].mid=rec-mid;
                    RQij [ rcounti [j]].contents=message;
            else
                Drop the message;
else
    drop the message;
if cp-statei =1 then
    if RQi =NULL then
        if tckpi =1 then
            undo the tentative checkpoint;
            tckpi :=0;
            send(Ui, Ti, marki );
            take tentative checkpoint;
            tckpi :=1;

```

Actions at process P_c , on receiving a resending message request from P_i :

```
receive( $P_i, P_j, R_{ij}, \text{minMid}_i[j]$ );
request.  $P_d := P_j$ ; request.min:=  $R_{ij}$ ;
request.max:=  $\text{minMid}_i[j]$ ;
Send( $P_i, \text{request}$ );
```

Actions in the first phase for the coordinate process P_c :

```
request.  $P_d := \text{NULL}$ ;
for i:=1 to N do
  send( $P_i, \text{request}$ );
```

Actions at process P_i , on receiving a request from P_c :

```
receive( $P_i, \text{rec-request}$ );
if cp-state $_i = 0 \wedge \text{rec-request}.P_d = \text{NULL}$  then
  cp-state $_i := 1$ ;
  send( $S_i, R_i$ );
  if  $RQ_i = \text{NULL}$  then
    take tentative checkpoint;
  else
    k:= rec-request.min;
    while k< rec-request.max do
      temp:=1;
      While temp<= scout $_i[\text{rec-request}.Pd] \wedge SQ_{\text{irec-request}.Pd}[\text{temp}] \neq k$  do
        Temp:=temp+1;
      Get the message from  $SQ_{\text{irec-request}.Pd}[\text{temp}]$ ;
      send( $P_i, \text{rec-request}.P_d, \text{message}, k, \text{csn}_i$ );
      k:=k+1;
```

Actions in the second phase for the coordinate process P_c :

```
receive( $S_i, R_i$ );
num:=num+1; ack:=0;
if num=N then
  for i:=1 to N do
    tag:=0;
    for j:=1 to N do
      if  $R_{ij} \neq S_{ji}+1$  then
        request.  $P_d = P_j$ ;
        request.min:=  $R_{ij}$ ;
        request.max:=  $S_{ji}+1$ ;
```

```

    send( $P_i$ , request);
    tag:=1
  if tag=0 then
    ack:=ack+1;
  while ack<N do
    while not timeout do
      receive( $S_n$ ,  $R_n$ , rec-mark $_n$ );
      if mark $_c$ =rec-mark $_n$  then
        Tag:=0;
        for j:=1 to N do
          if  $R_{nj} \neq S_{jn} + 1$  then
            request.Pd:=  $P_j$ ; request.min:=  $R_{nj}$ ;
            request.max:=  $S_{jn} + 1$ ; send( $P_i$ , request);
            tag:=1
          if tag=0 then
            ack:=ack+1;
        if timeout then
          reply:=0; mark $_c$  := mark $_c$  + 1;
          for i:=1 to N do
            send( $P_i$ , reply);
            exit the algorithm;
        reply:=1; mark $_c$  :=0;
        for i:=1 to N do
          send( $P_i$ , reply);

```

Actions at other process P_i on receiving a reply message:

```

receive( $P_i$ , reply);
if reply=0 then
  cp-state $_i$  :=0; mark $_i$  =mark $_i$  +1;
else
  make the tentative checkpoint permanent;
  mark $_i$  :=0; csn $_i$  :=csn $_i$  +1; cp-state $_i$  :=0; tckp $_i$  :=0;
  scount $_i$  :=0; rcount $_i$  :=0;  $S_i$  :=0;  $R_i$  :=0;  $SQ_i$  :=NULL;

```

4. Algorithm Analysis

Theorem 4. The algorithm can create consistent global checkpoints.

Proof. When P_c initiates a checkpointing process, it propagates checkpointing request to the ordinary processes. Each process P_i will send S_i and R_i to P_c , and then P_i takes a tentative checkpoint if RQ_i is NULL.

If $R_{ij} = S_{ji} + 1$ ($i=1,2,\dots,n; j=1,2,\dots, n; i \neq j$), which denotes the computation messages sent by all the sender process have been computed by their own receiver process. P_c informs each process P_i to make its tentative checkpoint permanent. Now, these checkpoints are consistent. If $R_{ij} \neq S_{ji} + 1$ ($i=1,2,\dots,n; j=1,2,\dots, n; i \neq j$), P_c will inform P_j to resend the lost messages to P_i until R_{ij} is equal to $S_{ji} + 1$ ($i=1,2,\dots,n; j=1,2,\dots, n; i \neq j$). The algorithm will exit and undo the tentative checkpoints if time is timeout. In conclusion, the checkpoints created by our algorithm are consistent global checkpoints.

Theorem 5. Each process can compute the messages correctly.

Proof. Our algorithm ensures that each process computes the messages from the same process according to their sending order. When some messages are lost, the algorithm will let the sender process resend the lost messages in order that all the messages can be computed. When a process receives a duplicate message, the process don not computes the message in order that each process computes the message only once. In conclusion, each process can compute the messages correctly.

We assume that n is the number of processes; m is the number of lost messages before checkpointing phase; h is the number of lost messages and t is the number of processes that lost messages in checkpointing phase. Before checkpointing phase, process P_i checks that a computation message is lost and it inform P_c . P_c inform the sender process to resend the lost message. In checkpointing phase, P_c sends checkpointing request to each process and each process sends a system message to P_c . Eventually, P_c needs to send a reply to each process. P_c will inform the sender processes to resend the lost messages if h is not equal to 0; and then the receiver processes need to send a system message to P_c . So the number of system messages is $O(3n+2m)$ if h is equal to 0. The number of system messages is $O(2n+2m+h)$ if h is not equal to 0.

5. Conclusion

In this paper, We propose a coordinated checkpointing algorithm based unreliable non-FIFO channel. In unreliable non-FIFO channel, the system can lose, duplicate, or reorder messages. The processes may not compute some messages because of message losses; the processes may compute some messages twice or more because of message duplicate; the processes may not compute messages according to their sending order because of message reordering. The above-mentioned problems make processes produce incorrect computation result, consequently, prevent processes from taking consistent global checkpoints. Our algorithm assigns each message a sequence number in order to resolve above-mentioned problems. During the establishing of the checkpoint, the consistency of checkpoint can be determined by the sequence number of sending and receiving messages. We can identify the lost messages, reordering messages and duplicate messages by checking the sequence number of sending and receiving messages. We resolve above-mentioned problems by resending the lost messages, buffering the reordering messages and dropping the duplicate messages. Our algorithm makes processes take consistent global checkpoints.

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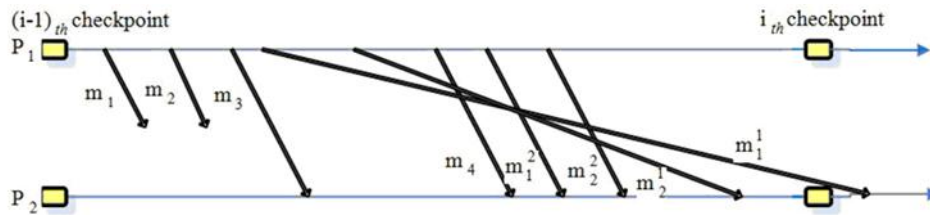


Fig. 1